

# Notes on tree- and path-chromatic number

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**Abstract** *Tree-chromatic number* is a chromatic version of treewidth, where the cost of a bag in a tree-decomposition is measured by its chromatic number rather than its size. *Path-chromatic number* is defined analogously. These parameters were introduced by Seymour [JCTB 2016]. In this paper, we survey all the known results on tree- and path-chromatic number and then present some new results and conjectures. In particular, we propose a version of Hadwiger’s Conjecture for tree-chromatic number. As evidence that our conjecture may be more tractable than Hadwiger’s Conjecture, we give a short proof that every  $K_5$ -minor-free graph has tree-chromatic number at most 4, which avoids the Four Colour Theorem. We also present some hardness results and conjectures for computing tree- and path-chromatic number.

## 1 Introduction

*Tree-chromatic number* is a hybrid of the graph parameters treewidth and chromatic number, recently introduced by Seymour [17]. Here is the definition.

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A *tree-decomposition* of a graph  $G$  is a pair  $(T, \mathcal{B})$  where  $T$  is a tree and  $\mathcal{B} := \{B_t \mid t \in V(T)\}$  is a collection of subsets of vertices of  $G$ , called *bags*, satisfying:

- for each  $uv \in E(G)$ , there exists  $t \in V(T)$  such that  $u, v \in B_t$ , and
- for each  $v \in V(G)$ , the set of all  $t \in V(T)$  such that  $v \in B_t$  induces a non-empty subtree of  $T$ .

A graph  $G$  is *k-colourable* if each vertex of  $G$  can be assigned one of  $k$  colours, such that adjacent vertices are assigned distinct colours. The *chromatic number* of a graph  $G$  is the minimum integer  $k$  such that  $G$  is  $k$ -colourable.

For a tree-decomposition  $(T, \mathcal{B})$  of  $G$ , the *chromatic number* of  $(T, \mathcal{B})$  is  $\max\{\chi(G[B_t]) \mid t \in V(T)\}$ . The *tree-chromatic number* of  $G$ , denoted  $\text{tree-}\chi(G)$ , is the minimum chromatic number taken over all tree-decompositions of  $G$ . The *path-chromatic number* of  $G$ , denoted  $\text{path-}\chi(G)$ , is defined analogously, where we insist that  $T$  is a path instead of an arbitrary tree. Henceforth, for a subset  $B \subseteq V(G)$ , we will abbreviate  $\chi(G[B])$  by  $\chi(B)$ . For  $v \in V(G)$ , let  $N_G(v)$  be the set of neighbours of  $v$  and  $N_G[v] := N_G(v) \cup \{v\}$ .

The purpose of this paper is to survey the known results on tree- and path-chromatic number, and to present some new results and conjectures.

Clearly,  $\text{tree-}\chi$  and  $\text{path-}\chi$  are monotone under the subgraph relation, but unlike treewidth, they are not monotone under the minor relation. For example,  $\text{tree-}\chi(K_n) = n$ , but the graph  $G$  obtained by subdividing each edge of  $K_n$  is bipartite and so  $\text{tree-}\chi(G) \leq \chi(G) = 2$ .

By definition, for every graph  $G$ ,

$$\text{tree-}\chi(G) \leq \text{path-}\chi(G) \leq \chi(G).$$

Section 2 reviews results that show that each of these inequalities can be strict and in fact, both of the pairs  $(\text{tree-}\chi(G), \text{path-}\chi(G))$  and  $(\text{path-}\chi(G), \chi(G))$  can be arbitrarily far apart.

We present our new results and conjectures in Sections 3-5. In Section 3, we propose a version of Hadwiger's Conjecture for tree-chromatic number and show how it is related to a 'local' version of Hadwiger's Conjecture. In Section 4, we prove that  $K_5$ -minor-free graphs have tree-chromatic number at most 4, without using the Four Colour Theorem. We finish in Section 5, by presenting some hardness results and conjectures for computing  $\text{path-}\chi$  and  $\text{tree-}\chi$ .

## 2 Separating $\chi$ , $\text{path-}\chi$ and $\text{tree-}\chi$

Complete graphs are a class of graphs with unbounded tree-chromatic number. Are there more interesting examples? The following lemma of Seymour [17] leads to an answer. A *separation*  $(A, B)$  of a graph  $G$  is a pair of edge-disjoint subgraphs whose union is  $G$ .

**Lemma 1.** *For every graph  $G$ , there is a separation  $(A, B)$  of  $G$  such that  $\chi(A \cap B) \leq \text{tree-}\chi(G)$  and*

$$\chi(A - V(B)), \chi(B - V(A)) \geq \chi(G) - \text{tree-}\chi(G).$$

Seymour [17] noted that Lemma 1 shows that the random construction of Erdős [6] of graphs with large girth and large chromatic number also have large tree-chromatic number with high probability.

Interestingly, it is unclear if the known *explicit* constructions of large girth, large chromatic graphs also have large tree-chromatic number. For example, *shift graphs* are one of the classic constructions of triangle-free graphs with unbounded chromatic number, as first noted in [7]. The vertices of the  $n$ -th shift graph  $S_n$  are all intervals of the form  $[a, b]$ , where  $a$  and  $b$  are integers satisfying  $1 \leq a < b \leq n$ . Two intervals  $[a, b]$  and  $[c, d]$  are adjacent if and only if  $b = c$  or  $d = a$ . The following lemma (first noted in [17]) shows that the gap between  $\chi$  and path- $\chi$  is unbounded on the class of shift graphs.

**Lemma 2.** *For all  $n \in \mathbb{N}$ ,  $\text{path-}\chi(S_n) = 2$  and  $\chi(S_n) \geq \lceil \log_2 n \rceil$ .*

*Proof.* The fact that  $\chi(S_n) \geq \lceil \log_2 n \rceil$  is well-known; we include the proof for completeness. Let  $\ell = \chi(S_n)$  and  $\phi : V(S_n) \rightarrow [\ell]$  be a proper  $\ell$ -colouring of  $S_n$ . For each  $j \in [n]$  let  $C_j = \{\phi([i, j]) \mid i < j\}$ . We claim that for all  $j < k$ ,  $C_j \neq C_k$ . By definition,  $\phi([j, k]) \in C_k$ . If  $C_j = C_k$ , then  $\phi([i, j]) = \phi([j, k])$  for some  $i < j$ . But this is a contradiction, since  $[i, j]$  and  $[j, k]$  are adjacent in  $S_n$ . Since there are  $2^\ell$  subsets of  $[\ell]$ ,  $2^\ell \geq n$ , as required.

We now show that  $\text{path-}\chi(S_n) = 2$ . For each  $i \in [n]$ , let  $B_i = \{[a, b] \in V(S_n) \mid a \leq i \leq b\}$ . Let  $P_n$  be the path with vertex set  $[n]$  (labelled in the obvious way). We claim that  $(P_n, \{B_i \mid i \in [n]\})$  is a path-decomposition of  $S_n$ . First observe that  $[a, b] \in B_i$  if and only if  $a \leq i \leq b$ . Next, for each edge  $[a, b][b, c] \in E(S_n)$ ,  $[a, b], [b, c] \in B_b$ . Finally, observe that for all  $i \in [n]$ ,  $X_i = \{[a, b] \in B_i \mid b = i\}$  and  $Y_i = \{[a, b] \in B_i \mid b > i\}$  is a bipartition of  $S_n[B_i]$ . Therefore,  $S_n$  has path-chromatic number 2, as required.

Given that shift graphs contain large complete bipartite subgraphs, the following question naturally arises.

**Open Problem 1** *Does there exist a function  $f : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  such that for all  $s \in \mathbb{N}$  and all  $K_{s,s}$ -free graphs  $G$ ,  $\chi(G) \leq f(s, \text{tree-}\chi(G))$ ?*

It is not obvious that the parameters path- $\chi$  and tree- $\chi$  are actually different. Indeed, Seymour [17] asked if  $\text{path-}\chi(G) = \text{tree-}\chi(G)$  for all graphs  $G$ ? Huynh and Kim [10] answered the question in the negative by exhibiting for each  $k \in \mathbb{N}$ , an infinite family of  $k$ -connected graphs for which  $\text{tree-}\chi(G) + 1 = \text{path-}\chi(G)$ . They also prove that the Mycielski graphs [14] have unbounded path-chromatic number.

However, can tree- $\chi(G)$  and path- $\chi(G)$  be arbitrarily far apart? Seymour [17] suggested the following family as a potential candidate. Let  $T_n$  be the complete binary rooted tree with  $2^n$  leaves. A path  $P$  in  $T_n$  is called a  $\vee$  if the vertex of  $P$

closest to the root (which we call the *low point* of the  $V$ ) is an internal vertex of  $P$ . Let  $G_n$  be the graph whose vertices are the  $V$ s of  $T_n$ , where two  $V$ s are adjacent if the low point of one is an endpoint of the other.

**Lemma 3 ([17]).** *For all  $n \in \mathbb{N}$ ,  $\text{tree-}\chi(G_n) = 2$  and  $\chi(G_n) \geq \lceil \log_2 n \rceil$ .*

*Proof.* For each  $t \in V(T_n)$ , let  $B_t$  be the set of  $V$ s in  $T_n$  which contain  $t$ . We claim that  $(T_n, \{B_t \mid t \in V(T_n)\})$  is a tree-decomposition of  $G_n$  with chromatic number 2. First observe that if  $P$  is a  $V$ , then  $\{t \in V(T_n) \mid P \in B_t\} = V(P)$ , which induces a non-empty subtree of  $T_n$ . Next, if  $P_1$  and  $P_2$  are adjacent  $V$ s with  $V(P_1) \cap V(P_2) = \{t\}$ , then  $P_1, P_2 \in B_t$ . Finally, for each  $t \in B_t$ , let  $X_t$  be the elements of  $B_t$  whose low point is  $t$  and let  $Y_t := B_t \setminus X_t$ . Then  $(X_t, Y_t)$  is a bipartition of  $G_n[B_t]$ , implying that  $\text{tree-}\chi(G_n) = 2$ .

For the second claim, it is easy to see that  $G_n$  contains a subgraph isomorphic to the  $n$ -th shift graph  $S_n$ . Thus,  $\chi(G_n) \geq \chi(S_n) \geq \lceil \log_2 n \rceil$ , by Lemma 2.

Barrera-Cruz, Felsner, Mészáros, Micek, Smith, Taylor, and Trotter [1] subsequently proved that  $\text{path-}\chi(G_n) = 2$  for all  $n \in \mathbb{N}$ . However, with a slight modification of the definition of  $G_n$ , they were able to construct a family of graphs with tree-chromatic number 2 and unbounded path-chromatic number.

**Theorem 2 ([1]).** *For each integer  $n \geq 2$ , there exists a graph  $H_n$  with  $\text{tree-}\chi(H_n) = 2$  and  $\text{path-}\chi(H_n) = n$ .*

The definition of  $H_n$  is as follows. A subtree of the complete binary tree  $T_n$  is called a  $Y$  if it has three leaves and the vertex of the  $Y$  closest to the root of  $T_n$  is one of its three leaves. The vertices of  $H_n$  are the  $V$ s and  $Y$ s of  $T_n$ . Two  $V$ s are adjacent if the low point of one is an endpoint of the other. Two  $Y$ s are adjacent if the lowest leaf of one is an upper leaf of the other. A  $V$  is adjacent to a  $Y$  if the low point of the  $V$  is an upper leaf of the  $Y$ . The proof that  $\text{path-}\chi(H_n) = n$  uses Ramsey theoretical methods for trees developed by Milliken [13].

### 3 Hadwiger's Conjecture for tree- $\chi$ and path- $\chi$

One could hope that difficult conjectures involving  $\chi$  might become tractable for tree- $\chi$  or path- $\chi$ , thereby providing insightful intermediate results. Indeed, the original motivation for introducing tree- $\chi$  was a conjecture of Gyárfás [8] from 1985, on  $\chi$ -boundedness of triangle-free graphs without long holes<sup>1</sup>.

*Conjecture 1 (Gyárfás's Conjecture [8]).* For every integer  $\ell$ , there exists  $c$  such that every triangle-free graph with no hole of length greater than  $\ell$  has chromatic number at most  $c$ .

Seymour [17] proved that Conjecture 1 holds with  $\chi$  replaced by tree- $\chi$ .

<sup>1</sup> A *hole* in a graph is an induced cycle of length at least 4.

**Theorem 3 ([17]).** *For all integers  $d \geq 1$  and  $\ell \geq 4$ , if  $G$  is a graph with no hole of length greater than  $\ell$  and  $\chi(N_G(v)) \leq d$  for all  $v \in V(G)$ , then  $\text{tree-}\chi(G) \leq d(\ell - 2)$ .*

Note that Theorem 3 with  $d = 1$  implies that  $\text{tree-}\chi(G) \leq \ell - 2$  for every triangle-free graph  $G$  with no hole of length greater than  $\ell$ . A proof of Gyárfás's Conjecture [8] (among other results) was subsequently given by Chudnovsky, Scott, and Seymour [3].

The following is another famous conjectured upper bound on  $\chi$ , due to Hadwiger [9]; see [16] for a survey.

*Conjecture 2 ([9]).* If  $G$  is a graph without a  $K_{t+1}$ -minor, then  $\chi(G) \leq t$ .

We propose the following weakenings of Hadwiger's Conjecture.

*Conjecture 3.* If  $G$  is a graph without a  $K_{t+1}$ -minor, then  $\text{tree-}\chi(G) \leq t$ .

*Conjecture 4.* If  $G$  is a graph without a  $K_{t+1}$ -minor, then  $\text{path-}\chi(G) \leq t$ .

By Theorem 2,  $\text{tree-}\chi(G)$  and  $\text{path-}\chi(G)$  can be arbitrarily far apart, so Conjecture 3 may be easier to prove than Conjecture 4. By Theorem 3,  $\chi$  and  $\text{tree-}\chi$  can be arbitrarily far apart, so Conjecture 3 may be easier to prove than Hadwiger's Conjecture. We give further evidence of this in the next section, by proving Conjecture 3 for  $t = 5$ , without using the Four Colour Theorem.

Robertson, Seymour, and Thomas [15] proved that every  $K_6$ -minor-free graph is 5-colourable. Their proof uses the Four Colour Theorem and is 83 pages long. Thus, even if we are allowed to use the Four Colour Theorem, it would be interesting to find a short proof that every  $K_6$ -minor-free graph has tree-chromatic number at most 5.

Conjectures 3 and 4 are also related to a 'local' version of Hadwiger's Conjecture via the following lemma.

**Lemma 4.** *Let  $(T, \{B_t \mid t \in V(T)\})$  be a tree- $\chi$ -optimal tree-decomposition of  $G$ , with  $|V(T)|$  minimal. Then there are vertices  $v \in V(G)$  and  $\ell \in V(T)$  such that  $N_G[v] \subseteq B_\ell$ .*

*Proof.* Let  $\ell$  be a leaf of  $T$  and  $u$  be the unique neighbour of  $\ell$  in  $T$ . If  $B_\ell \subseteq B_u$ , then  $T - \ell$  contradicts the minimality of  $T$ . Therefore, there is a vertex  $v \in B_\ell$  such that  $v \notin B_t$  for all  $t \neq \ell$ . It follows that  $N_G[v] \subseteq B_\ell$ , as required.

Lemma 4 immediately implies that the following 'local version' of Hadwiger's Conjecture follows from Conjecture 3.

*Conjecture 5.* If  $G$  is a graph without a  $K_{t+1}$ -minor, then there exists  $v \in V(G)$  such that  $\chi(N_G[v]) \leq t$ .

It is even open whether Conjectures 3, 4, or 5 hold with an upper bound of  $10^{100}t$  instead of  $t$ . Finally, the following apparent weakening of Hadwiger's Conjecture (and strengthening of Conjecture 5) is actually equivalent to Hadwiger's Conjecture.

*Conjecture 6.* If  $G$  is a graph without a  $K_{t+1}$ -minor, then  $\chi(N_G[v]) \leq t$  for all  $v \in V(G)$ .

*Proof (Proof of equivalence to Hadwiger's Conjecture).* Clearly, Hadwiger's Conjecture implies Conjecture 6. For the converse, let  $G$  be a graph without a  $K_{t+1}$ -minor. Let  $G^+$  be the graph obtained from  $G$  by adding a new vertex  $v$  adjacent to all vertices of  $G$ . Since  $G^+$  has no  $K_{t+2}$ -minor, Conjecture 6 yields  $\chi(N_{G^+}[v]) \leq t+1$ . Since  $\chi(N_{G^+}[v]) = \chi(G) + 1$ , we have  $\chi(G) \leq t$ , as required.

## 4 $K_5$ -minor-free graphs

As evidence that Conjecture 3 may be more tractable than Hadwiger's Conjecture, we now prove it for  $K_5$ -minor-free graphs without using the Four Colour Theorem. We begin with the planar case.

**Theorem 4.** For every planar graph  $G$ ,  $\text{tree-}\chi(G) \leq 4$ .

*Proof.* We use the same tree-decomposition previously used by Eppstein [5] and Dujmović, Morin, and Wood [4].

Say  $G$  has  $n$  vertices. We may assume that  $n \geq 3$  and that  $G$  is a plane triangulation. Let  $F(G)$  be the set of faces of  $G$ . By Euler's formula,  $|F(G)| = 2n - 4$  and  $|E(G)| = 3n - 6$ . Let  $r$  be a vertex of  $G$ . Let  $(V_0, V_1, \dots, V_\ell)$  be the bfs layering of  $G$  starting from  $r$ . Let  $T$  be a bfs tree of  $G$  rooted at  $r$ . Let  $T^*$  be the subgraph of the dual  $G^*$  with vertex set  $F(G)$ , where two vertices are adjacent if the corresponding faces share an edge not in  $T$ . Thus

$$|E(T^*)| = |E(G)| - |E(T)| = (3n - 6) - (n - 1) = 2n - 5 = |F(G)| - 1 = |V(T^*)| - 1.$$

By the Jordan Curve Theorem,  $T^*$  is connected. Thus  $T^*$  is a tree.

For each vertex  $u$  of  $T^*$ , if  $u$  corresponds to the face  $xyz$  of  $G$ , let  $C_u := P_x \cup P_y \cup P_z$ , where  $P_v$  is the vertex set of the  $vr$ -path in  $T$ , for each  $v \in V(G)$ . See [5, 4] for a proof that  $(T^*, \{C_u : u \in V(T^*)\})$  is a tree-decomposition of  $G$ .

We now prove that  $G[C_u]$  is 4-colourable. Let  $\ell$  be the largest index such that  $\{x, y, z\} \cap V_\ell \neq \emptyset$ . For each  $k \in \{0, \dots, \ell\}$ , let  $G_k = G[C_u \cap (\bigcup_{j=0}^k V_j)]$ . Note that  $G_\ell = G[C_u]$ . We prove by induction on  $k$  that  $G_k$  is 4-colourable. This clearly holds for  $k \in \{0, 1\}$ , since  $|V(G_1)| \leq 4$ .

For the inductive step, let  $k \geq 2$ . For each  $i \in \{0, \dots, \ell\}$ , let  $W_i = C_u \cap V_i$ . Since  $W_i$  contains at most one vertex from each of  $P_x, P_y$ , and  $P_z$ ,  $|W_i| \leq 3$ .

First suppose  $|W_i| \leq 2$  for all  $i \leq k$ . Since all edges of  $G$  are between consecutive layers or within a layer, we can 4-colour  $G_k$  by using the colours  $\{1, 2\}$  on the even layers and  $\{3, 4\}$  on the odd layers.

Next suppose  $|W_k| \leq 2$ . We are done by the previous case unless  $k = \ell$ ,  $|W_\ell| \in \{1, 2\}$ , and  $|W_{\ell-1}| = 3$ . By induction, let  $\phi' : V(G_{\ell-2}) \rightarrow [4]$  and  $\phi : V(G_{\ell-1}) \rightarrow [4]$  be 4-colourings of  $G_{\ell-2}$  and  $G_{\ell-1}$ , respectively. If  $|W_\ell| = 1$ , then clearly we can extend  $\phi$  to a 4-colouring of  $G_\ell$ . So, we may assume  $|W_\ell| = 2$ .

Note that  $\phi$  extends to a 4-colouring of  $G_\ell$  unless every vertex of  $W_{\ell-1}$  is adjacent to every vertex of  $W_\ell$  and the two vertices of  $W_\ell$  are adjacent. If  $G[W_{\ell-1}]$  is a triangle, then  $G[W_{\ell-1} \cup W_\ell] = K_5$ , which contradicts planarity. If  $G[W_{\ell-1}]$  is a path, say  $abc$ , then we obtain a  $K_5$ -minor in  $G$  by contracting all but one edge of the  $a$ - $c$  path in  $T$ . If  $W_{\ell-1}$  is a stable set, then  $\phi'$  can be extended to a 4-colouring of  $G_{\ell-1}$  such that all vertices in  $W_{\ell-1}$  are the same colour. This colouring can clearly be extended to a 4-colouring of  $G_\ell$ . The remaining case is if  $G[W_{\ell-1}]$  is an edge  $ab$  together with an isolated vertex  $c$ . It suffices to show that there is a colouring of  $G_{\ell-1}$  that uses at most two colours on  $W_{\ell-1}$ , since such a colouring can be extended to a 4-colouring of  $G_\ell$ . Note that  $\phi'$  can be extended to such a colouring unless  $\phi'$  uses three colours on  $W_{\ell-2}$  and  $a$  and  $b$  are adjacent to all vertices of  $W_{\ell-2}$ . Since  $\phi$  is a 4-colouring, this implies that  $\phi$  uses at most two colours on  $W_{\ell-2}$ . Thus we may recolour  $\phi$  so that only two colours are used on  $W_{\ell-1}$ , as required.

Henceforth, we may assume  $|W_k| = 3$ . By induction, let  $\phi : V(G_{k-1}) \rightarrow [4]$  be a 4-colouring of  $G_{k-1}$ . Let  $\phi_{k-1} = \phi(W_{k-1})$ .

If  $|\phi_{k-1}| = 1$ , then we can extend  $\phi$  to a 4-colouring of  $G_k$  by using  $[4] \setminus \phi_{k-1}$  to 3-colour  $W_k$ .

Suppose  $|\phi_{k-1}| = 2$ . By induction,  $G_{k-2}$  has a 4-colouring  $\phi'$ . If  $W_{k-1}$  is a stable set, then we can extend  $\phi'$  to a 4-colouring of  $G_{k-1}$  such that all vertices of  $W_{k-1}$  are the same colour. Thus,  $|\phi'_{k-1}| = 1$ , and we are done by the previous case. Let  $a, b \in W_{k-1}$  such that  $ab \in E(G_{k-1})$ . Let  $c$  be the other vertex of  $W_{k-1}$  (if it exists). By relabeling, we may assume that  $\phi(a) = 1, \phi(b) = 2$ , and  $\phi(c) = 2$ . Let  $N(a)$  be the set of neighbours of  $a$  in  $W_k$  and  $N(b, c)$  be the set of neighbours of  $\{b, c\}$  in  $W_k$ . Observe that  $\phi$  extends to a 4-colouring of  $G_k$  unless  $N(a) = N(b, c) = W_k$ . However, if  $N(a) = N(b, c) = W_k$ , then we obtain a  $K_5$ -minor in  $G$  by using  $T$  to contract  $W_k$  onto  $\{x, y, z\}$  and  $c$  onto  $b$  (if  $c$  exists). This contradicts planarity.

The remaining case is  $|\phi_{k-1}| = 3$ . In this case,  $\phi$  extends to a 4-colouring of  $G_k$ , unless there exist distinct vertices  $a, b \in W_{k-1}$  such that  $a$  and  $b$  are both adjacent to all vertices of  $W_k$ . Again we obtain a  $K_5$ -minor in  $G$  by using  $T$  to contract  $W_k$  onto  $\{x, y, z\}$  and contracting all but one edge of the  $a$ - $b$  path in  $T$ .

We finish the proof by using Wagner's characterization of  $K_5$ -minor-free graphs [19], which we now describe. Let  $G_1$  and  $G_2$  be two graphs with  $V(G_1) \cap V(G_2) = K$ , where  $K$  is a clique of size  $k$  in both  $G_1$  and  $G_2$ . The  $k$ -sum of  $G_1$  and  $G_2$  (along  $K$ ) is the graph obtained by gluing  $G_1$  and  $G_2$  together along  $K$  (and keeping all edges of  $K$ ). The *Wagner graph*  $V_8$  is the graph obtained from an 8-cycle by adding an edge between each pair of antipodal vertices.

**Theorem 5 (Wagner's Theorem [19]).** *Every edge-maximal  $K_5$ -minor-free graph can be obtained from 1-, 2-, and 3-sums of planar graphs and  $V_8$ .*

**Theorem 6.** *For every  $K_5$ -minor-free graph  $G$ ,  $\text{tree-}\chi(G) \leq 4$ .*

*Proof.* Let  $G$  be a  $K_5$ -minor-free graph. We proceed by induction on  $|V(G)|$ . We may assume that  $G$  is edge-maximal. First note that if  $G = V_8$ , then  $\text{tree-}\chi(G) \leq \chi(G) = 4$ . Next, if  $G$  is planar, then  $\text{tree-}\chi(G) \leq 4$  by Theorem 4 (whose proof

avoids the Four Colour Theorem). By Theorem 5, we may assume that  $G$  is a  $k$ -sum of two graphs  $G_1$  and  $G_2$ , for some  $k \in [3]$ . Let  $K$  be the clique in  $V(G_1) \cap V(G_2)$  along which the  $k$ -sum is performed. Since  $G_1$  and  $G_2$  are both  $K_5$ -minor-free graphs with  $|V(G_1)|, |V(G_2)| < |V(G)|$ , we have  $\text{tree-}\chi(G_1) \leq 4$  and  $\text{tree-}\chi(G_2) \leq 4$  by induction. For  $i \in [2]$ , let  $(T^i, \{B_t^i \mid t \in V(T^i)\})$  be a tree-decomposition of  $G_i$  with chromatic number at most 4. Since  $K$  is a clique in  $G_i$ ,  $K \subseteq B_x^1 \cap B_y^2$  for some  $x \in V(T^1)$  and  $y \in V(T^2)$ . Let  $T$  be the tree obtained from the disjoint union of  $T^1$  and  $T^2$  by adding an edge between  $x$  and  $y$ . Then  $(T, \{B_t^1 \mid t \in V(T^1)\} \cup \{B_t^2 \mid t \in V(T^2)\})$  is a tree-decomposition of  $G$  with chromatic number at most 4.

## 5 Computing tree- $\chi$ and path- $\chi$

We finish by showing some hardness results for computing tree- $\chi$  and path- $\chi$ . We need some preliminary results. For a graph  $G$ , let  $K_t^G$  be the graph consisting of  $t$  disjoint copies of  $G$  and all edges between distinct copies of  $G$ .

**Lemma 5.** *For all  $t \in \mathbb{N}$  and all graphs  $G$  without isolated vertices,*

$$(t-1)\chi(G) + 2 \leq \text{tree-}\chi(K_t^G) \leq \text{path-}\chi(K_t^G) \leq t\chi(G).$$

*Proof.* Let  $(T, \{B_t \mid t \in V(T)\})$  be a tree- $\chi$ -optimal tree-decomposition of  $K := K_t^G$ , with  $|V(T)|$  minimal. By Lemma 4, there exists  $\ell \in V(T)$  and  $v \in V(K)$  such that  $N_K[v] \subseteq B_\ell$ . Since  $G$  has no isolated vertices,  $v$  has a neighbour in the same copy of  $G$  in which it belongs. Therefore,

$$\text{tree-}\chi(K) \geq \chi(B_\ell) \geq \chi(N_K[v]) \geq 2 + (t-1)\chi(G).$$

For the other inequalities,  $\text{tree-}\chi(K) \leq \text{path-}\chi(K) \leq \chi(K) = t\chi(G)$ .

We also require the following hardness result of Lund and Yannakakis [12].

**Theorem 7 ([12]).** *There exists  $\varepsilon > 0$ , such that it is NP-hard to correctly determine  $\chi(G)$  within a multiplicative factor of  $n^\varepsilon$  for every  $n$ -vertex graph  $G$ .*

Our first theorem is a hardness result for approximating tree- $\chi$  and path- $\chi$ .

**Theorem 8.** *There exists  $\varepsilon' > 0$ , such that it is NP-hard to correctly determine tree- $\chi(G)$  within a multiplicative factor of  $n^{\varepsilon'}$  for every  $n$ -vertex graph  $G$ . The same hardness result holds for path- $\chi$  with the same  $\varepsilon'$ .*

*Proof.* We show the proof for tree- $\chi$ . The proof for path- $\chi$  is identical. Let  $\varepsilon' = \frac{\varepsilon}{3}$ , where  $\varepsilon$  is the constant from Theorem 7. Let  $G$  be an  $n$ -vertex graph.

Note that  $K_n^G$  has  $n^2$  vertices, and  $(n^2)^{\varepsilon'} = n^{\frac{2\varepsilon}{3}}$ . If  $k \in [\frac{\text{tree-}\chi(K_n^G)}{n^{\frac{2\varepsilon}{3}}}, n^{\frac{2\varepsilon}{3}} \text{tree-}\chi(K_n^G)]$ , then  $\frac{k}{n} \in [\frac{\chi(G)}{n^\varepsilon}, n^\varepsilon \chi(G)]$  by Lemma 5. Therefore, if we can approximate tree- $\chi(K_n^G)$  within a factor of  $(n^2)^{\varepsilon'}$ , then we can approximate  $\chi(G)$  within a factor of  $n^\varepsilon$ .

For the decision problem, we use the following hardness result of Khanna, Linial, and Safra [11].

**Theorem 9 ([11]).** *Given an input graph  $G$  with  $\chi(G) \neq 4$ , it is NP-complete to decide if  $\chi(G) \leq 3$  or  $\chi(G) \geq 5$ .*

As a corollary of Theorem 9, we obtain the following.

**Theorem 10.** *It is NP-complete to decide if  $\text{tree-}\chi(G) \leq 6$ . It is also NP-complete to decide if  $\text{path-}\chi(G) \leq 6$ .*

*Proof.* Let  $G$  be a graph without isolated vertices and  $\chi(G) \neq 4$ . By Lemma 5, if  $\text{tree-}\chi(K_2^G) \leq 6$ , then  $\chi(G) \leq 3$  and if  $\text{tree-}\chi(K_2^G) \geq 7$ , then  $\chi(G) \geq 5$ . Same for  $\text{path-}\chi$ . Finally, a tree- or path-decomposition and a 6-colouring of each bag is a certificate that  $\text{tree-}\chi(G) \leq 6$  or  $\text{path-}\chi(G) \leq 6$ .

Combining the standard  $O(2^n)$ -time dynamic programming for computing pathwidth exactly (see Section 3 of [18]) and the  $2^n n^{O(1)}$ -time algorithm of Björklund, Husfeldt, and Koivisto [2] for deciding if  $\chi(G) \leq k$ , yields a  $4^n n^{O(1)}$ -time algorithm to decide to  $\text{path-}\chi(G) \leq k$ . As far as we know, there is no faster algorithm for deciding  $\text{path-}\chi(G) \leq k$  (except for small values of  $k$ , where faster algorithms for deciding  $k$ -colourability can be used instead of [2]).

Finally, unlike for  $\chi(G)$ , we conjecture that it is still NP-complete to decide if  $\text{tree-}\chi(G) \leq 2$ .

*Conjecture 7.* It is NP-complete to decide if  $\text{tree-}\chi(G) \leq 2$ . It is also NP-complete to decide if  $\text{path-}\chi(G) \leq 2$ .

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