

# Note on Hedetniemi's conjecture and the Poljak-Rödl function

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**Abstract** Hedetniemi conjectured in 1966 that  $\chi(G \times H) = \min\{\chi(G), \chi(H)\}$  for any graphs  $G$  and  $H$ . Here  $G \times H$  is the graph with vertex set  $V(G) \times V(H)$  defined by putting  $(x, y)$  and  $(x', y')$  adjacent if and only if  $xx' \in E(G)$  and  $yy' \in E(H)$ . This conjecture received a lot of attention in the past half century. It was disproved recently by Shitov. The Poljak-Rödl function is defined as  $f(n) = \min\{\chi(G \times H) : \chi(G) = \chi(H) = n\}$ . Hedetniemi's conjecture is equivalent to saying  $f(n) = n$  for every integer  $n$ . Shitov's result shows that  $f(n) < n$  when  $n$  is sufficiently large. Using Shitov's result, Tardif and Zhu showed that  $f(n) \leq n - (\log n)^{1/4 - o(1)}$  for sufficiently large  $n$ . Using Shitov's method, He and Wigderson showed that for  $\varepsilon \approx 10^{-9}$  and  $n$  sufficiently large,  $f(n) \leq (1 - \varepsilon)n$ . In this note we observe that a slight modification of the proof in the paper of Zhu and Tardif shows that  $f(n) \leq (\frac{1}{2} + o(1))n$  for sufficiently large  $n$ . On the other hand, it is unknown whether  $f(n)$  is bounded by a constant. However, we do know that if  $f(n)$  is bounded by a constant, then the smallest such constant is at most 9. This note gives self-contained proofs of the above mentioned results.

## 1 Introduction

The *product*  $G \times H$  of graphs  $G$  and  $H$  has vertex set  $V(G) \times V(H)$  and has  $(x, y)$  adjacent to  $(x', y')$  if and only if  $xx' \in E(G)$  and  $yy' \in E(H)$ . Many names for this product are used in the literature, including the *categorical product*, the *tensor product* and the *direct product*. It is the most important product in this note. We just call it *the product*. We may write  $x \sim y$  (in  $G$ ) to denote  $xy \in E(G)$ .

A proper colouring  $\phi$  of  $G$  induces a proper colouring  $\Phi$  of  $G \times H$  defined as  $\Phi(x, y) = \phi(x)$ . So  $\chi(G \times H) \leq \chi(G)$ . Symmetrically, we also have  $\chi(G \times H) \leq$

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$\chi(H)$ . Therefore  $\chi(G \times H) \leq \min\{\chi(G), \chi(H)\}$ . In 1966, Hedetniemi conjectured in [5] that  $\chi(G \times H) = \min\{\chi(G), \chi(H)\}$  for all graphs  $G$  and  $H$ . This conjecture received a lot of attention in the past half century (see [1, 6, 10, 13, 18, 19]). Some special cases are confirmed. In particular, it is known that if  $\min\{\chi(G), \chi(H)\} \leq 4$ , then the conjecture holds [1]. Also, a fractional version of Hedetniemi's conjecture is true [19]. However, Shitov recently refuted Hedetniemi's conjecture [11]. He proved that for sufficiently large  $n$ , there are  $n$ -chromatic graphs  $G$  and  $H$  with  $\chi(G \times H) < n$ .

The Poljak-Rödl function [9] is defined as

$$f(n) = \min\{\chi(G \times H) : \chi(G) = \chi(H) = n\}.$$

Hedetniemi's conjecture is equivalent to saying  $f(n) = n$  for all positive integer  $n$ . Shitov's result shows that  $f(n) < n$  for sufficiently large  $n$ . Right after Shitov put his result on arxiv, using his result, Tardif and Zhu [16] showed that the difference  $n - f(n)$  can be arbitrarily large. Indeed, they proved that  $f(n) \leq n - (\log n)^{1/4 - o(1)}$  for sufficiently large  $n$ . It is also shown in [16] that if a special case of Stahl's conjecture in [12] on the multi-chromatic number of Kneser graphs is true, then  $\lim_{n \rightarrow \infty} f(n)/n \leq 1/2$ . He and Wigderson, using Shitov's method, proved that  $f(n) \leq (1 - \varepsilon)n$  for  $\varepsilon \approx 10^{-9}$  and sufficiently large  $n$ . Very recently, Zhu observed that the conclusion  $\lim_{n \rightarrow \infty} f(n)/n \leq 1/2$  holds without assuming Stahl's conjecture.

## 2 Exponential graph

One of the standard tools used in the study of Hedetniemi's conjecture is the concept of *exponential graphs*. Let  $c$  be a positive integer. We denote by  $[c]$  the set  $\{1, 2, \dots, c\}$ . For a graph  $G$ , the exponential graph  $K_c^G$  has vertex set

$$\{f : f \text{ is a mapping from } V(G) \rightarrow [c]\},$$

with  $fg \in E(K_c^G)$  if and only if for any edge  $xy \in E(G)$ ,  $f(x) \neq g(y)$ . In particular,  $f \sim f$  is a loop in  $K_c^G$  if and only if  $f$  is a proper  $c$ -colouring of  $G$ . So if  $\chi(G) > c$ , then  $K_c^G$  has no loop.

For convenience, when we study properties of  $K_c^G$ , vertices in  $K_c^G$  will be called *maps*. The term "vertices" is reserved for vertices of  $G$ . That is, to refer to a vertex of  $K_c^G$ , we will say that it is a map in  $K_c^G$  or a map from  $G$  to  $[c]$ .

For two graphs  $G$  and  $H$ , a *homomorphism from  $G$  to  $H$*  is a mapping  $\phi : V(G) \rightarrow V(H)$  that preserves edges, i.e., for every edge  $xy$  of  $G$ ,  $\phi(x)\phi(y)$  is an edge of  $H$ . We say  $G$  is *homomorphic to  $H$* , and write  $G \rightarrow H$ , if there is a homomorphism from  $G$  to  $H$ . The "homomorphic" relation " $\rightarrow$ " is a quasi-order. It is reflexive and transitive: if  $G \rightarrow H$  and  $H \rightarrow Q$  then  $G \rightarrow Q$ . The composition  $\psi \circ \phi$  of a homomorphism  $\phi$  from  $G$  to  $H$  and a homomorphism  $\psi$  from  $H$  to  $Q$  is a homomorphism from  $G$  to  $Q$ .

Note that a homomorphism from a graph  $G$  to  $K_c$  is equivalent to a proper  $c$ -colouring of  $G$ . Thus if  $G \rightarrow H$ , then  $\chi(G) \leq \chi(H)$ .

**Lemma 1.** *For any graph  $F$ ,  $\chi(G \times F) \leq c$  if and only if  $F$  is homomorphic to  $K_c^G$ .*

*Proof.* Assume  $\chi(G \times F) \leq c$  and  $\Psi : V(G \times F) \rightarrow [c]$  is a proper colouring of  $G \times F$ . For any vertex  $u \in V(F)$ , let  $f_u \in K_c^G$  be defined as  $f_u(v) = \Psi(u, v)$ . Then the mapping sending  $u$  to  $f_u$  is a homomorphism from  $F$  to  $K_c^G$ . Indeed, if  $uv \in E(F)$ , then for any edge  $xy \in E(G)$ ,  $(u, x) \sim (v, y)$  in  $G \times F$ . Therefore  $f_u(x) = \Psi(u, x) \neq \Psi(v, y) = f_v(y)$ . Thus  $f_u \sim f_v$  in  $K_c^G$ .

Conversely, the mapping  $\Psi : V(G \times K_c^G) \rightarrow [c]$  defined as  $\Psi(x, f) = f(x)$  is a proper colouring of  $G \times K_c^G$ . Indeed, if  $(x, f) \sim (y, g)$  in  $G \times K_c^G$ , then  $xy \in E(G)$  and  $fg \in E(K_c^G)$ . Therefore  $\Psi(x, f) = f(x) \neq g(y) = \Psi(y, g)$ .

If  $F$  is homomorphic to  $K_c^G$ , then  $G \times F$  is homomorphic to  $G \times K_c^G$  and hence  $\chi(G \times F) \leq c$ .

In this sense,  $K_c^G$  is the largest graph  $H$  in the order of homomorphism with the property that  $\chi(G \times H) \leq c$ . Thus Hedetniemi's conjecture is equivalent to the following statement:

*If  $\chi(G) > c$ , then  $\chi(K_c^G) = c$ .*

The concept of exponential graphs was first used by El-Zahar and Sauer in [1], where it is shown that if  $\chi(G) \geq 4$ , then  $K_3^G$  is 3-colourable. Hence the product of two 4-chromatic graphs has chromatic number 4.

The result of El-Zahar and Sauer is still the best result in the positive direction of Hedetniemi's conjecture. We do not know whether or not the product of two 5-chromatic graphs equals 5. On the other hand, there is a nice strengthening of this result by Tardif [14] in the study of multiplicative graphs. We say a graph  $Q$  is *multiplicative* if for any two graphs  $G, H$ ,  $G \not\rightarrow Q$  and  $H \not\rightarrow Q$  implies that  $G \times H \not\rightarrow Q$ . Hedetniemi's conjecture is equivalent to say that  $K_n$  is multiplicative for any positive integer  $n$ . El-Zahar and Sauer proved that  $K_3$  is multiplicative. Häggkvist, Hell, Miller and Neumann Lara [3] proved that odd cycles are multiplicative and Tardif [14] proved that circular cliques  $K_{p/q}$  for  $p/q < 4$  are multiplicative, where  $K_{p/q}$  has vertex set  $[p]$  with  $i \sim j$  if and only if  $q \leq |i - j| \leq p - q$ . (So  $K_{p/1} = K_p$  and  $K_{(2k+1)/k} = C_{2k+1}$ ).

### 3 Shitov's Theorem

To disprove Hedetniemi's conjecture, it suffices to find a graph  $G$  and a positive integer  $c$  so that  $\chi(G) > c$  and  $\chi(K_c^G) > c$ .

For a map  $f \in K_c^G$ , the image set of  $f$  is  $Im(f) = \{f(v) : v \in V(G)\}$ . Note that for  $f, g \in K_c^G$ , if  $Im(f) \cap Im(g) = \emptyset$ , then  $f \sim g$ . For  $i \in [c]$ , we denote by  $g_i \in V(K_c^G)$  the constant map  $g_i(v) = i$  for all  $v \in V(G)$ . So  $Im(g_i) = \{i\}$ . Thus for any graph  $G$  and any positive integer  $c$ ,  $\{g_i : i \in [c]\}$  induces a  $c$ -clique in  $K_c^G$  and  $\chi(K_c^G) \geq c$ .

We denote by  $G[K_q]$  the graph obtained from  $G$  by *blowing up* each vertex of  $G$  into a  $q$ -clique. The vertices of  $G[K_q]$  are denoted by  $(x, i)$ , where  $x \in V(G)$  and  $i \in [q]$ . So  $(x, i)$  and  $(y, j)$  are adjacent in  $G[K_q]$  if and only if either  $x \sim y$  or  $x = y$  and  $i \neq j$ . For a graph  $G$ , the *independence number*  $\alpha(G)$  of  $G$  is the size of a largest independent set in  $G$ . This section proves the following result of Shitov:

**Theorem 1 (Shitov).** *Let  $G$  be a graph with  $|V(G)| = p$ ,  $\alpha(G) \leq \frac{p}{4.1}$  and  $\text{girth}(G) \geq 6$ . Let  $q \geq 2^{p-1}p^2$  and  $c = 4q + 2$ . Then  $\chi(G[K_q]) > c$  and  $\chi(K_c^{G[K_q]}) > c$ .*

The above formulation of the theorem is slightly different from the formulation in [11]. The proof also seems different. But all the claims and lemmas are either stated in [11] or hidden in the text in [11].

It is a classical result of Erdős [2] that there are graphs of arbitrary large girth and large chromatic number. This result is included in most graph theory textbooks (see [17]). The probabilistic proof of this result actually shows that there are graphs  $G$  of arbitrary large girth and arbitrary small independence ratio  $\alpha(G)/|V(G)|$ . What we need here is a graph of girth 6 and with  $\alpha(G) \leq |V(G)|/4.1$ .

**Proof of Theorem 1** Since  $G[K_q]$  has the same independence number as  $G$ , we have

$$\chi(G[K_q]) \geq \frac{|V(G[K_q])|}{\alpha(G[K_q])} = \frac{|V(G)|q}{\alpha(G)} \geq 4.1q > c.$$

It remains to show that  $\chi(K_c^{G[K_q]}) > c$ .

Assume to the contrary that  $\chi(K_c^{G[K_q]}) = c$  (recall that  $K_c^{G[K_q]}$  has a  $c$ -clique and hence has chromatic number at least  $c$ ), and  $\Psi$  is  $c$ -colouring of  $K_c^{G[K_q]}$ . We may assume that the constant map  $g_i$  is coloured by colour  $i$ . Thus for any map  $\phi \in K_c^{G[K_q]}$ , if  $i \notin \text{Im}(\phi)$ , then  $\phi \sim g_i$  and hence  $\Psi(\phi) = i$ . Thus we have the following lemma.

**Lemma 2.** *For any map  $\phi \in K_c^{G[K_q]}$ ,  $\Psi(\phi) \in \text{Im}(\phi)$ .*

**Definition 1.** A map  $\phi \in K_c^{G[K_q]}$  is called *simple* if  $\phi$  is constant on each copy of  $K_q$  that is a blow-up of a vertex of  $G$ , i.e., for any  $x \in V(G)$ ,  $i, j \in [q]$ ,  $\phi(x, i) = \phi(x, j)$ .

For simplicity, we shall write  $\phi(x)$  for  $\phi(x, i)$  when  $\phi$  is a simple map.

Note that in  $K_c^{G[K_q]}$ , two simple maps  $\phi$  and  $\psi$  are adjacent if and only if for each edge  $xy$  of  $G$ ,  $\phi(x) \neq \psi(y)$ , and moreover, for each vertex  $x$ ,  $\phi(x) \neq \psi(x)$ . This is so, because for  $i \neq j \in [q]$ ,  $(x, i)(x, j)$  is an edge of  $G[K_q]$  and  $\phi(x)$  is a shorthand for  $\phi(x, i)$  and  $\psi(x)$  is a shorthand for  $\psi(x, j)$ .

In this sense, the subgraph of  $K_c^{G[K_q]}$  induced by simple maps is isomorphic to  $K_c^{G^\circ}$ , where  $G^\circ$  is obtained from  $G$  by adding a loop to each vertex of  $G$ . We shall just treat  $K_c^{G^\circ}$  as an induced subgraph of  $K_c^{G[K_q]}$  and write  $\phi \in V(K_c^{G^\circ})$  to mean that  $\phi$  is a simple map in  $K_c^{G[K_q]}$ . Most of our argument is about properties of the subgraph  $K_c^{G^\circ}$  of  $K_c^{G[K_q]}$ .

The graph  $K_c^{G[K_q]}$  is a huge graph. As  $G$  has girth 6 and fractional chromatic number at least 4.1,  $p = |V(G)|$  is probably about 200. The number in  $K_c^{G[K_q]}$  is  $c^{pq}$ , which is roughly  $(2^{200})^{200}$ . The subgraph  $K_c^{G^o}$  has  $c^p$  vertices, which is roughly  $(2^{200})^{200}$ . So  $K_c^{G^o}$  is huge, but it is a very tiny fraction of  $K_c^{G[K_q]}$ .

**Definition 2.** For  $v \in V(G)$  and  $b \in [c]$ , let

$$I(v, b) = \{\phi \in K_c^{G^o} : \Psi(\phi) = b = \phi(v)\}.$$

By Observation 2,  $\Psi(\phi) \in \text{Im}(\phi)$  for any  $\phi \in K_c^{G^o}$ . Therefore

$$V(K_c^{G^o}) = \bigcup_{v \in V(G), b \in [c]} I(v, b).$$

As  $K_c^{G^o}$  has  $c^p$  vertices, the average size of  $I(v, b)$  is

$$\frac{c^p}{pc} = \frac{c^{p-1}}{p}.$$

**Definition 3.** We say  $I(v, b)$  is *large* if  $|I(v, b)| \geq 2pc^{p-2}$ .

Observe that, by hypothesis,  $c$  is much larger than  $p$ . The power of  $c$  is the dominating factor. So  $2pc^{p-2}$  is much smaller than the average size of  $I(v, b)$ . Thus intuitively, “most” of the  $I(v, b)$ 's should be large. So the next lemma is not a surprise.

**Lemma 3.** *There exists a vertex  $v$  of  $G$  such that*

$$|\{b \in [c] : I(v, b) \text{ is large}\}| > c/2.$$

*Proof.* For each vertex  $v$  of  $G$ , let  $S(v) = \{b : I(v, b) \text{ is small}\}$ . Assume to the contrary that for each  $v$ ,  $|S(v)| \geq c/2$ . Let

$$\mathcal{L} = \{\phi \in K_c^{G^o} : \forall v \in V(G), \phi(v) \in S(v)\}.$$

Then

$$|\mathcal{L}| = \prod_{v \in V(G)} |S(v)| \geq \left(\frac{c}{2}\right)^p.$$

For any  $\phi \in \mathcal{L}$ , if  $\phi \in I(v, b)$ , then  $I(v, b)$  is small. Thus

$$\mathcal{L} \subset \bigcup_{v \in V(G), b \in [c], I(v, b) \text{ is small}} I(v, b).$$

Therefore  $|\mathcal{L}| < p \cdot c \cdot 2pc^{p-2} = 2p^2c^{p-1}$ . But then

$$\left(\frac{c}{2}\right)^p < 2p^2c^{p-1}$$

which implies that  $c < 2^{p+1}p^2$ . But by our choice of  $c$ , we have  $c = 4q + 2 > 4q \geq 2^{p+1}p^2$ , a contradiction.  $\square$

For two vertices  $x, y$  of  $G$ , denote by  $d_G(x, y)$  the distance between  $x$  and  $y$ . Let  $v$  be a vertex of  $G$  for which  $|\{b \in [c] : I(v, b) \text{ is large}\}| > c/2$ . For  $t \in \{2q + 1, 2q + 2, \dots, 4q + 2\}$ , let  $\mu_t \in K_c^{G[K_q]}$  be defined as

$$\mu_t(x, i) = \begin{cases} i, & \text{if } d_G(x, v) = 0, 2, \\ q + i, & \text{if } d_G(x, v) = 1, \\ t, & \text{if } d_G(x, v) \geq 3. \end{cases}$$

Observe that  $\mu_t$  are not simple maps. These will be the only non-simple maps used in the proof.

*Claim.* The set of maps  $\{\mu_t : t \in \{2q + 1, 2q + 2, \dots, 4q + 2\}\}$  induces a clique in  $K_c^{G[K_q]}$ .

*Proof.* Assume to the contrary that for some  $t \neq t'$ ,  $\mu_t \not\sim \mu_{t'}$ . Then there is an edge  $(x, i)(y, j)$  of  $G[K_q]$  such that  $\mu_t(x, i) = \mu_{t'}(y, j)$ . Let  $\alpha = \mu_t(x, i) = \mu_{t'}(y, j)$ .

Then  $\alpha \in \text{Im}(\mu_t) \cap \text{Im}(\mu_{t'}) \subseteq \{i, q + i, t\} \cap \{j, q + j, t'\}$ . As  $t \neq t'$ , we conclude that  $i = j$  and  $\alpha = i$  or  $q + i$ . Since  $(x, i), (y, i)$  are distinct adjacent vertices, we conclude that  $x \neq y$  and  $xy \in E(G)$ . If  $\alpha = i$ , then  $d_G(x, v), d_G(y, v) \in \{0, 2\}$  implies that  $G$  has a 3-cycle or a 5-cycle, contrary to the assumption that  $G$  has girth 6. If  $\alpha = q + i$ , then  $d_G(v, x) = d_G(v, y) = 1$ , and  $G$  has a 3-cycle, again a contradiction. This completes the proof of Claim 3.

So maps  $\{\mu_t : t = 2q + 1, 2q + 2, \dots, 4q + 2\}$  are coloured by distinct colours, and hence there exists  $t$  such that  $\Psi(\mu_t) \notin \{1, 2, \dots, 2q\}$ . As  $\Psi(\mu_t) \in \text{Im}(\mu_t) = \{1, 2, \dots, q, t\}$ , we have  $\Psi(\mu_t) = t$ .

Since  $|\{b \in [c] : I(v, b) \text{ is large}\}| > c/2 = 2q + 1$ , there is a colour  $b \in [c] - \{1, 2, \dots, 2q, t\}$  such that  $I(v, b)$  is large. Let  $\theta \in K_c^{G^o}$  be defined as follows:

$$\theta(x) = \begin{cases} b, & \text{if } d_G(x, v) \geq 2, \\ t, & \text{if } d_G(x, v) \leq 1. \end{cases}$$

*Claim.* For  $t \in \{2q + 1, 2q + 2, \dots, 4q + 2\}$ ,  $\theta \sim \mu_t$ .

*Proof.* Assume to the contrary that  $\theta \not\sim \mu_t$ . Then there is an edge  $(x, i)(y, j) \in E(G[K_q])$  such that  $\theta(x) = \theta(x, i) = \mu_t(y, j)$ . (Note that  $\theta(x, i) = \theta(x)$  as  $\theta$  is a simple map). As  $\text{Im}(\theta) \cap \text{Im}(\mu_t) = \{t\}$ , we conclude that  $\theta(x) = \mu_t(y, j) = t$ . But then  $d_G(x, v) \leq 1$  and  $d_G(y, v) \geq 3$ , and hence  $x \neq y$  and  $xy \notin E(G)$ , contrary to the assumption that  $(x, i)(y, j) \in E(G[K_q])$ .

Thus  $\Psi(\theta) \neq \Psi(\mu_t) = t$ . As  $\Psi(\theta) \in \text{Im}(\theta)$ , we conclude that  $\Psi(\theta) = b$ .

*Claim.* For any  $\phi \in I(v, b)$ , there exists a vertex  $x \neq v$  such that  $\phi(x) \in \{b, t\}$ .

*Proof.* Let  $\phi \in I(v, b)$ . By definition  $\Psi(\phi) = b = \phi(v)$ . So  $\Psi(\phi) = \Psi(\theta)$ . Hence  $\phi \not\sim \theta$ . So there is an edge  $xy \in E(G^o)$  such that  $\phi(x) = \theta(y)$ . If  $x = v$ , then  $\theta(y) = \phi(v) = b$ . By definition of  $\theta$ , we have  $d_G(y, v) \geq 2$ . Hence  $xy$  cannot be an edge in  $G^o$ , a contradiction. So  $x \neq v$ . As  $\phi(x) = \theta(y) \in \{b, t\}$ , this completes the proof of the claim.

For each  $x \neq v$ , let

$$J_x = \{\phi \in I(v, b) : \phi(x) \in \{b, t\}\}.$$

For a map  $\phi \in J_x$ , the image  $\phi(v)$  of  $v$  is fixed, i.e.,  $\phi(v) = b$ . The image  $\phi(x)$  of  $x$  has two choices:  $b$  and  $t$ . For each of other  $n - 2$  vertices  $y$  of  $G$ ,  $\phi(y)$  has  $c$  choices. Therefore  $|J_x| \leq 2c^{n-2}$ . By Claim 3,  $I(v, b) = \cup_{x \in V(G) - \{v\}} J_x$ . So  $|I(v, b)| \leq 2(n - 1)c^{n-2}$ , contrary to the assumption that  $I(v, b)$  is large. This completes the proof of Theorem 1.

*Remark 1.* The key part of the proof of Theorem 1 is to show that  $K_c^{G[K_q]}$  is not  $c$ -colourable. For each vertex  $v$  of  $G$ , for  $t \in \{2q + 1, 2q + 2, \dots, 4q + 2\}$ , let

$$\mu_{v,t}(x, i) = \begin{cases} i, & \text{if } d_G(x, v) = 0, 2, \\ q + i, & \text{if } d_G(x, v) = 1, \\ t, & \text{if } d_G(x, v) \geq 3; \end{cases}$$

Let  $H$  be the subgraph of  $K_c^{G[K_q]}$  induced by

$$V(K_c^{G^o}) \cup \{\mu_{v,t} : v \in V(G), t \in \{2q + 1, 2q + 2, \dots, 4q + 2\}\}.$$

What we have proved is that the subgraph  $H$  of  $K_c^{G[K_q]}$  is not  $c$ -colourable. Note that  $H$  is a very tiny fraction of  $K_c^{G[K_q]}$ , although  $H$  by itself is a huge graph.

The reviewer of this note asks if there is an intuition as to why this subgraph  $H$  is the right thing to be thinking about. Also, once you have the intuition that this subgraph should have high chromatic number, why are the sets  $I(b, v)$  the right things to look at to analyse this?

This is also a question in my mind. Reading Shitov's paper, one naturally wonders how did he come up with this proof? I am not the right person to answer this question. However, since one main purpose of this note is to explain Shitov's proof, I will give it a try.

The maps  $\{g_i : i \in [c]\}$  is already a  $c$ -clique. So all the  $c$  colours are used by these maps in a proper  $c$ -colouring  $\Psi$  of  $K_c^{G[K_q]}$ , where we assume that  $\Phi(g_i) = i$  for  $i \in [c]$ . To derive a contradiction, it is natural to consider maps that have many neighbors in this  $c$ -clique, namely, maps  $\phi$  with a small image set  $Im(\phi)$ . The smallest image set has size 2 (for otherwise it is one of these constant maps). For each vertex  $v$  of  $G$ , for any two colors  $b, t \in [c]$ , let  $\theta_{v,b,t} \in K_c^{G^o}$  be defined as

$$\theta_{v,b,t}(x) = \begin{cases} b, & \text{if } d_G(x,v) \geq 2, \\ t, & \text{if } d_G(x,v) \leq 1. \end{cases}$$

Now  $\Psi(\theta_{v,b,t}) = b$  or  $t$ , as it is adjacent to every  $g_i$  with  $i \neq b, t$ . If we can somehow fix the colour of  $\theta_{v,b,t}$  to be  $b$ , that is very useful. The maps  $\mu_{v,t}$  are used to force  $\theta_{v,b,t}$  to be colored by  $b$ .

Now it remains to find a map  $\phi$  with  $\Psi(\phi) = b$  which is adjacent to  $\theta_{v,b,t}$ , so that we obtain a contradiction to the assumption that  $\Psi$  is a proper colouring of  $K_c^{G[K_q]}$ . The candidates are those maps  $\phi \in K_c^{G^o}$  such that  $\Psi(\phi) = \phi(v) = b$ , because if  $\Psi(\phi) = b$ , then there is a vertex  $x \in V(G)$  such that  $\phi(x) = b$ . If  $x \neq v$ , then  $\phi$  is not adjacent to  $\theta_{v,b,t}$ . Indeed, the definition of  $\theta_{v,b,t}$  is chosen in such a way that its neighbors coloured with colour  $b$  has a simple structure.

This is why we have the definition of  $I(v,b)$ .

Can we find such a map in  $I(v,b)$ ? Intuitively, this is promising: For a map  $\phi \in I(v,b)$  to be a neighbor of  $\theta_{v,b,t}$ , one just need to avoid assigning color  $b$  to any other vertex, and avoid assigning color  $t$  to the neighbours of  $v$ . If  $I(v,b)$  is large enough, then such a map shall exist. Once we have shown that for appropriate  $v, b, t$ ,  $I(v,b)$  is large enough and by using maps  $\mu_{v,t}$ , we can force  $\theta_{v,b,t}$  be coloured by  $b$ , we arrive at a contradiction.

## 4 The Poljak-Rödl function

The Poljak-Rödl function is defined in [9]:

$$f(n) = \min\{\chi(G \times H) : \chi(G), \chi(H) \geq n\}.$$

Hedetniemi's conjecture is equivalent to saying that  $f(n) = n$  for all positive integer  $n$ . Shitov's Theorem says that for sufficiently large  $n$ ,  $f(n) \leq n - 1$ . Using Shitov's result, Tardif and Zhu [16] proved that  $f(n) \leq n - (\log n)^{1/4 - o(1)}$ . Tardif and Zhu asked in [16] if there is a positive constant  $\varepsilon$  such that  $f(n) \leq (1 - \varepsilon)n$  for sufficiently large  $n$ . This question was answered in affirmative by He and Wigderson [4] with  $\varepsilon \approx 10^{-9}$ . On the other hand, in [16], Tardif and Zhu proved that if a special case of a conjecture of Stahl [12] concerning the multi-chromatic number of Kneser graph is true, then we have  $\limsup_{n \rightarrow \infty} \frac{f(n)}{n} \leq \frac{1}{2}$ .

Recently, I proved in [20] that the conclusion  $\limsup_{n \rightarrow \infty} \frac{f(n)}{n} \leq \frac{1}{2}$  holds without assuming Stahl's conjecture.

**Theorem 2.** For  $d \geq 1$ , let  $G$  be a  $p$ -vertex graph of girth 6 and with  $\alpha(G) \leq \frac{p}{8.1d}$ . Let  $q \geq 2^{p-1}p^2$  and  $c = 4q + 2$ . Then  $\chi(G[K_q]) \geq 2dc - 2c + 2$  and  $\chi(K_{dc}^{G[K_q]}) \geq 2dc - 2c + 2$ . Consequently,  $f(2dc - 2c + 2) \leq dc$ .



*Proof.* As explained before, the existence of a graph  $G$  described above was proved by Erdős. Similarly as in the proof of Theorem 1,  $\chi(G[K_q]) \geq \frac{|V(G[K_q])|}{\alpha(G[K_q])} \geq \frac{pq}{p/8.1d} = 8.1dq \geq 2dc > 2dc - 2c + 2$ . Now we show that  $\chi(K_{dc}^{G[K_q]}) \geq 2dc - 2c + 2$ .

Assume  $\Psi$  is a  $(dc+t)$ -colouring of  $K_{dc}^{G[K_q]}$  with colour set  $[dc+t]$ . We shall show that  $dc+t \geq 2dc - 2c + 2$ , i.e.,  $t \geq dc - 2c + 2$ . Let  $S = [dc+t] - [dc]$ . The colours in  $[dc]$  are called *primary colours* and colours in  $S$  are called *secondary colours*. So we have  $t = |S|$  secondary colours.

Similarly as before, we may assume that  $\Psi(g_i) = i$  for  $i \in [dc]$ . Then for any map  $\phi \in K_{dc}^{G[K_q]}$ , if  $i \notin \text{Im}(\phi)$ , then  $\phi \sim g_i$  and  $\Psi(\phi) \neq i$ . Thus for any  $\phi \in K_{dc}^{G[K_q]}$ ,  $\Psi(\phi) \in \text{Im}(\phi) \cup S$ .

For positive integers  $m \geq 2k$ , let  $K(m, k)$  be the Kneser graph whose vertices are  $k$ -subsets of  $[m]$ , and for two  $k$ -subsets  $A, B$  of  $[m]$ ,  $A \sim B$  if  $A \cap B = \emptyset$ . It was proved by Lovász in [7] that  $\chi(K(m, k)) = m - 2k + 2$ .

For a  $c$ -subset  $A$  of  $[cd]$ , let  $H_A$  be the subgraph of  $K_{cd}^{G[K_q]}$  induced by

$$\{\phi \in V(K_{cd}^{G[K_q]}) : \text{Im}(\phi) \subseteq A\}.$$

Then  $H_A$  is isomorphic to  $K_c^{G[K_q]}$ . By Theorem 1,  $|\Psi(H_A)| \geq c + 1$ . As  $\text{Im}(\phi) \subseteq A$  and  $|A| = c$ ,  $\Psi(H_A)$  contains at least one secondary colour. Let  $\tau(A)$  be an arbitrary secondary colour contained in  $\Psi(H_A)$ .

If  $A, B$  are  $c$ -subsets of  $[dc]$  and  $A \cap B = \emptyset$ , then every vertex in  $H_A$  is adjacent to every vertex in  $H_B$ . Hence  $\Psi(H_A) \cap \Psi(H_B) = \emptyset$ . In particular,  $\tau(A) \neq \tau(B)$ . Thus  $\tau$  is a proper colouring of the Kneser graph  $K(dc, c)$ . As  $\chi(K(dc, c)) = dc - 2c + 2$ , we conclude that  $t = |S| \geq dc - 2c + 2$ . This completes the proof of Theorem 2.

For a positive integer  $d$ , let  $p = p(d)$  be the minimum number of vertices of a graph  $G$  with girth 6 and  $\chi_f(G) \geq 8.1d$ . It follows from Theorem 2 that for any integer  $q \geq p^2 2^{p-1}$ ,  $f(2(d-1)(4q+2)+2) \leq (4q+2)d$ . As  $f(n)$  is non-decreasing, for integers  $n$  in the interval  $[2(d-1)(4q+2)+2, 2(d-1)(4q+6)+2]$ , we have  $f(n) \leq (4q+6)d$ .

Hence for all integers  $n \geq 2(4q+2)(d-1)+2$ ,

$$\frac{f(n)}{n} \leq \frac{(4q+6)d}{2(4q+2)(d-1)+3} = \frac{1}{2} + \frac{4q+4d+1}{2(d-1)(4q+2)+2}.$$

Note that if  $d \rightarrow \infty$ , then  $p = p(d)$  goes to infinity, and  $q \geq p^3 2^p$  goes to infinity. Therefore

$$\limsup_{n \rightarrow \infty} \frac{f(n)}{n} \leq \frac{1}{2}.$$

Theorem 2 improves the result of He and Wigderson [4]. However, He and Wigderson use a modification of Shitov's method, which might be of independent interest.

In the proof of Theorem 2, we actually showed that a tiny subgraph of  $K_{dc}^{G[K_q]}$  has chromatic number close to  $2dc$ . It is not clear if the remaining part of the graph

$K_{dc}^{G[K_q]}$  can be used to show that this graph actually has a much larger chromatic number. We observe that if one can show that the chromatic number of  $K_{dc}^{G[K_q]}$  is more than  $kdc$  for some positive integer  $k$ , then Stahl's conjecture implies that  $\limsup_{n \rightarrow \infty} \frac{f(n)}{n} \leq \frac{1}{k+1}$ .

## 5 Lower bound for $f(n)$

The breakthrough result of Shitov leads to an improvement of the upper bound for the function  $f(n)$ . On the other hand, the only known lower bound for  $f(n)$  is that  $f(n) \geq 4$  for  $n \geq 4$ . We do not know if  $f(n)$  is bounded by a constant or not. What we do know is that if  $f(n)$  is bounded by a constant, then the smallest such constant is at most 9.

To prove this result, we need to consider the product of digraphs. For a digraph  $D$ , we use  $A(D)$  to denote the set of arcs of  $D$ . An arc in  $D$  is either denoted by an ordered pair  $(x, y)$ , or by an arrow  $x \rightarrow y$ . Digraphs are allowed to have digons, i.e., a pair of opposite arcs.

Assume  $D_1, D_2$  are digraphs. The product  $D_1 \times D_2$  has vertex set  $V(D_1) \times V(D_2)$ , where  $(x, y) \rightarrow (x', y')$  is an arc if and only if  $(x, x')$  is an arc in  $D_1$  and  $(y, y')$  is an arc in  $D_2$ . The chromatic number of a digraph  $D$  is defined to be  $\chi(\underline{D})$ , where  $\underline{D}$  is the underlying graph of  $D$ , i.e., obtained from  $D$  by replacing each arc  $(x, y)$  with an edge  $xy$ . Given a digraph  $D$ , let  $D^{-1}$  be the digraph obtained from  $D$  by reversing the direction of all its arcs. It is easy to see that for any digraphs  $D_1, D_2$ ,

$$\underline{D_1 \times D_1} = (\underline{D_1 \times D_2}) \cup (\underline{D_1 \times D_2^{-1}}).$$

Hence

$$\chi(\underline{D_1 \times D_1}) \leq \chi(\underline{D_1 \times D_2}) \times \chi(\underline{D_1 \times D_2^{-1}}).$$

Let

$$\begin{aligned} g(n) &= \min\{\chi(\underline{D_1 \times D_2}) : \chi(D_1), \chi(D_2) \geq n\}, \\ h(n) &= \min\{\max\{\chi(\underline{D_1 \times D_2}), \chi(\underline{D_1 \times D_2^{-1}})\} : \chi(D_1), \chi(D_2) \geq n\}. \end{aligned}$$

Since  $E(\underline{D_1 \times D_2}) = E(\underline{D_1 \times D_2}) \cup E(\underline{D_1 \times D_2^{-1}})$ , we have

$$g(n) \leq h(n) \leq f(n) \leq h(n)^2.$$

The following result was proved by Poljak and Rödl in [9].

**Theorem 3.** *If  $g(n)$  (respectively  $h(n)$ ) is bounded by a constant, then the smallest such constant is at most 4. Consequently, if  $f(n)$  is bounded by a constant, then the smallest such constant is at most 16.*

*Proof.* For a graph  $D$ , let  $\partial(D)$  be the digraph with vertex set  $A(D)$ , where  $(x, y) \rightarrow (x', y')$  is an arc of  $\partial(D)$  if and only if  $y = x'$ . In particular, if  $(x, y), (y, x)$  is a digon in  $D$ , then  $(x, y) \rightarrow (y, x)$  and  $(y, x) \rightarrow (x, y)$  is a digon in  $\partial(D)$ .

**Lemma 4.** *For any digraph  $D$ ,*

$$\min\{k : 2^k \geq \chi(D)\} \leq \chi(\partial(D)) \leq \min\{k : \binom{k}{\lceil k/2 \rceil} \geq \chi(D)\}.$$

*Proof.* If  $\phi : V(\partial(D)) \rightarrow [k]$  is a proper colouring of  $\partial(D)$ , then for each vertex  $v$  of  $D$ , let  $\psi(v) = \{\phi(e) : e \in A^+(v)\}$ , where  $A^+(v)$  is the set of out-arcs at  $v$ . Then  $\psi$  is a proper colouring of  $D$  (with subsets of  $[k]$  as colours). Indeed, if  $e = (x, y)$  is an arc of  $D$ , then  $\phi(e) \in \psi(x) - \psi(y)$ . So  $\psi(x) \neq \psi(y)$ . The number of colours used by  $\psi$  is at most the number of subsets of  $[k]$ , which is  $2^k$ .

If  $\psi : V(D) \rightarrow \binom{[k]}{\lceil k/2 \rceil}$  is a proper colouring of  $D$  (where the colours are  $\lceil k/2 \rceil$ -subsets of  $[k]$ ), then for any arc  $e = (x, y)$  of  $D$ , let  $\phi(e)$  be any integer in  $\psi(y) - \psi(x)$  (as  $\psi(y) \neq \psi(x)$ , such an integer exists). Then if  $(x, y) \rightarrow (y, z)$  is an arc in  $\partial(D)$ , then  $\phi(x, y) \in \psi(y)$  and  $\phi(y, z) \notin \psi(y)$ . Hence  $\phi(x, y) \neq \phi(y, z)$ . I.e.,  $\phi$  is a proper colouring of  $\partial(D)$ . This completes the proof of Lemma 4.

It follows easily from the definition that

$$\begin{aligned} \partial(D_1 \times D_2) &= \partial(D_1) \times \partial(D_2), \\ \partial(D^{-1}) &= (\partial(D))^{-1}. \end{aligned}$$

Suppose  $g(n)$  is bounded and  $C$  is the smallest upper bound. As  $g(n)$  is non-decreasing, there is an integer  $n_0$  such that  $g(n) = C$  for all  $n \geq n_0$ . Let  $n_1 = 2^{n_0}$ , and let  $D_1, D_2$  be digraphs with  $\chi(D_1), \chi(D_2) \geq n_1$  and  $\chi(D_1 \times D_2) = C$ . It follows from Lemma 4 that  $\chi(\partial(D_1)), \chi(\partial(D_2)) \geq n_0$  and hence  $\chi(\partial(D_1) \times \partial(D_2)) = \chi(\partial(D_1 \times D_2)) \geq C$ . By Lemma 4 again, we have

$$C \geq \chi(D_1 \times D_2) > \binom{C-1}{\lceil (C-1)/2 \rceil}.$$

This implies that  $C \leq 4$ .

The same argument shows that if  $h(n)$  is bounded by a constant, then the smallest such constant is at most 4. Since  $h(n) \leq f(n) \leq h(n)^2$ , if  $f(n)$  is bounded by a constant, then the smallest such a constant is at most 16.

Next we show that if  $g(n)$  (respectively,  $h(n)$ ) is bounded by a constant, then the smallest such constant cannot be 4. Assume to the contrary that the smallest constant bound for  $g(n)$  is 4. Let  $n_0$  be the integer given above, and let  $n_1 = 2^{n_0}, n_2 = 2^{n_1}$ . Then  $g(n_2) = g(n_1) = g(n_0) = 4$ . Let  $D_1, D_2$  be two digraphs with  $\chi(D_1), \chi(D_2) \geq n_2$  and  $\chi(D_1 \times D_2) = 4$ . The same argument as above shows that

$$\chi(\partial(\partial(D_1 \times D_2))) = 4.$$

However, we shall show that if  $\chi(D) \leq 4$ , then  $\chi(\partial(\partial(D))) \leq 3$ . Let  $\vec{K}_4$  be the complete digraph with vertex set  $\{1, 2, 3, 4\}$ , where  $(i, j)$  is an arc for any distinct  $i, j \in \{1, 2, 3, 4\}$ . If  $\chi(D) = 4$ , then  $D$  admits a homomorphism to  $\vec{K}_4$ . Hence  $\partial(\partial(D))$  admits a homomorphism to  $\partial(\partial(\vec{K}_4))$ . So it suffices to show that  $\partial(\partial(\vec{K}_4)) \leq 3$ . In 1990, I was a Ph.D. student at The University of Calgary. After reading the paper by Poljak and Rödl [9], I found a 3-colouring of  $\partial(\partial(\vec{K}_4))$  by brute force. I was happy to tell this to my supervisor Professor Norbert Sauer, who then told the result to Duffus. Then I learned from Duffus the following elegant 3-colouring of  $\partial(\partial(\vec{K}_4))$ , given earlier by Schelp that was not published.

Each vertex of  $\partial(\partial(\vec{K}_4))$  is a sequence  $ijk$  with  $i, j, k \in [4]$ ,  $i \neq j, j \neq k$  (but  $i$  may equal to  $k$ ). Let

$$c(ijk) = \begin{cases} j, & \text{if } j \neq 4, \\ s, & \text{if } j = 4 \text{ and } s \in \{1, 2, 3\} - \{i, k\} \end{cases}$$

Then it is easy to verify that  $c$  is a proper 3-colouring of  $\partial(\partial(\vec{K}_4))$ . This completes the proof that  $g(n)$  is either bounded by 3 or goes to infinity. Similarly,  $h(n)$  is either bounded by 3 or goes to infinity, and consequently,  $f(n)$  is either bounded by 9 or goes to infinity.

Later I learned from Hell that Poljak also obtained this strengthening independently and that was published later (in 1992) [8].

Tardif and Wehlau [15] proved that  $f(n)$  is bounded if and only if  $g(n)$  is bounded.

The fractional version of Hedetniemi's conjecture was proved in [19]: For any two graphs  $G$  and  $H$ ,  $\chi_f(G \times H) = \min\{\chi_f(G), \chi_f(H)\}$ . Thus if  $f(n)$  is bounded by 9, and  $G$  and  $H$  are  $n$ -chromatic graphs with  $\chi(G \times H) \leq 9$ , then at least one of  $G$  and  $H$  has fractional chromatic number at most 9.

In [19], I defined the following Poljak-Rödl type function:

$$\psi(n) = \min\{\chi(G \times H) : \chi_f(G), \chi_f(H) \geq n\}.$$

I proposed a weaker version of Hedetniemi's conjecture, which is equivalent to the statement that  $\psi(n) = n$  for all positive integer  $n$ . However, Shitov's proof actually refutes this weaker version of Hedetniemi's conjecture, as the graph  $G$  used in the proof of Theorem 1 has large fractional chromatic number. The proof of Theorem 2 shows that

$$\limsup_{n \rightarrow \infty} \frac{\psi(n)}{n} \leq \frac{1}{2}.$$

On the other hand, it follows from the definition that  $f(n) \leq \psi(n)$ . A natural question is the following:

*Question 1.* Is  $\psi(n)$  bounded by a constant? If  $\psi(n)$  is bounded by a constant, what could be the smallest such constant?

**Remark** Very recently, I constructed relatively small counterexample to Hedetniemi's conjecture in [21]: There are graphs  $G$  and  $H$  with 3,403 and 10,501 vertices respectively such that  $\chi(G), \chi(H) \geq 126$  and  $\chi(G \times H) \leq 125$ .

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