

Delone sets on spirals

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Motivated by phyllotaxis in botany, the angular development of plants widely found in nature, we give a simple mathematical characterization of Delone sets on spirals.

Let X be a subset of \mathbb{R}^2 which is identified with the complex plane \mathbb{C} . Denote by $B(x, r)$ the open ball of radius r centered at x . We say X is *relatively dense* if there exists $r > 0$ such that, for any $x \in \mathbb{C}$, $B(x, r) \cap X \neq \emptyset$ holds, X is *uniformly discrete* if there exists $r > 0$ such that, for any $x \in \mathbb{C}$, we have $\text{card}(B(x, r) \cap X) \leq 1$, and finally X is a *Delone set* if it is both relatively dense and uniformly discrete.

Set $\mathbf{e}(z) = e^{2\pi iz}$. Fix an *angle* $\alpha \in [0, 1)$ and a strictly increasing function f from $\mathbb{R}_{\geq 0}$ to itself. We wish to characterize when the set

$$X_f = \{f(n)\mathbf{e}(n\alpha) \mid n \in \mathbb{N}\}$$

on a spiral curve $\{f(t)\mathbf{e}(t\alpha) \mid t \in \mathbb{R}_{\geq 0}\}$ forms a Delone set.

Let us collect necessary conditions. Clearly X_f is not relatively dense if the angle α is rational, since X_f is contained in a union of a finite number of lines passing through the origin. An easy discussion leads to the following result.

Lemma 1. *If X_f is relatively dense, then $\limsup_{n \rightarrow \infty} f(n)/\sqrt{n} < \infty$. If X_f is uniformly discrete, then $\liminf_{n \rightarrow \infty} f(n)/\sqrt{n} > 0$.*

Hereafter, we assume that $f(n) = \sqrt{n}$ and that α is irrational, and study the set

$$X(\alpha) = \{\sqrt{n}\mathbf{e}(n\alpha) \mid n \in \mathbb{N}\}.$$

In other words, we are interested in the sequence of points on the Fermat spiral that progresses by a constant angle α (see Figure 1).

A real number α is *badly approximable* if there exists a positive constant C so that

$$q|q\alpha - p| \geq C$$

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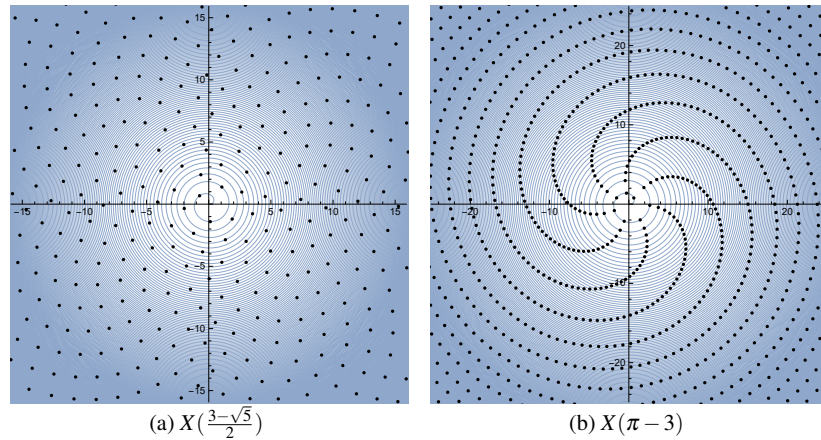


Fig. 1 Constant angular progressions on Fermat spiral

holds for all $(p, q) \in \mathbb{Z} \times \mathbb{N}$. It is well-known that α is badly approximable if and only if the partial quotients of the continued fraction expansion of α are bounded (compare [3, Theorem 23] and [1, Theorem 1.9]). In particular, if α is a real quadratic irrational, then α is badly approximable, due to Lagrange's theorem.

With the help of the three distance theorem on irrational rotation conjectured by Steinhaus and proved by Sós [5, 6] and then Świerczkowski [8], Surányi [7], Halton [2] and Slater [4], we can prove the following result.

Theorem 1. *The following four statements are equivalent.*

- a) $X(\alpha)$ is relatively dense,
- b) $X(\alpha)$ is uniformly discrete,
- c) $X(\alpha)$ is a Delone set,
- d) the angle α is badly approximable.

Searching for a possible higher-dimensional extension is an interesting problem.

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